IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Tomohiro Otani et al

Application No. : N/A

Filed

: December 29, 2003

Title

: OPTICAL RECEIVER AND OPTICAL ADD/DROP APPARATUS

Grp./Div.

: N/A

Examiner

: N/A

Docket No.

: 51721/DBP/T360

INFORMATION DISCLOSURE STATEMENT FOR A CONTINUING NON-CPA APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PostOffice Box 7068 Pasadena, CA 91109-7068 December 29, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B. The references listed thereon are not enclosed because they were of record in a parent application, Application No. 09/607,186, filed June 29, 2000.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Bue Present D. Bruce Prout

Reg. No. 20,958 626/795-9900

DBP/dip Enclosure DJP PAS543184.1-*-12/29/03 3:26 PM

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51721/DBP/T360
INFORMATION DISCLOSURE	Application Number	N/A
·	Filing Date	December 29, 2003
STATEMENT BY APPLICANT	Applicant(s)	Tomohiro Otani et al
(use as many sheets as necessary)	Group Art Unit	N/A
(435 45) 5.10015 45 11003447)	Examiner Name	N/A

	U.S. PATENT DOCUMENTS			
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		5,959,764	09-28-1999	Edagawa et al
		6,532,091	03-11-2003	Miyazaki et al
		6,335,819	01-01-2002	Cho et al

		FOREIGN P	ATENT DOCUMENT	TS .	
EXAMINER INITIALS	Cite No. ¹	FOREIGN PATENT DOCUMENT Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T° (✔)
		JP 10-78595	03-24-1998		U.S. counterpart 5,959,764 above

OTHER DOCUMENTS		
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	N. Edagawa et al, "Novel Wavelength Converter Using an Electroabsorption Modulator: Conversion Experiments at Up to 40 Gbit/s", OFC '97 Technical Digest, pp. 77-78	
		

EXAMINER	DATE
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.